

Securities Pledge/Unpledged process

Pledge process:

- Pledge/ unpledged request initiate in the following ways:
 - Back office portal, Client Login or mobile application
 - Call customer care
 - Physical form
 - CDSL Easiest or NSDL Speed- e applications
- **Back office portal Process**
 - Login in to LD Back office software
 - Under Day to day Tab > >Demat Stock Transfer> POA Margin Pledge Request
 - Select Client Code & click on refresh button
 - A list of POA stocks that can be pledged is displayed here
 - On Margin Pledge request page, the client will have to select the stock that he/she wants to pledge, quantity , and click on save option
- Once a Branch/client initiates a pledge request, the request will be accepted by GEPL depository team & upload files to CDSL/NSDL for confirmation.
- CDSL/NSDL will then send SMS on Client's registered mobile number and e-mail id with a link to OTP authentication of 'Margin Pledge(MP) Transactions
- Said link will remain valid till OTP authentication or EOD of the Execution Date of the MP transaction
- Client will have to click on the Link either in SMS or Email
- On clicking the said link, the client will be redirected to a web page and prompted to enter "PAN" or BO ID.
- Once the valid PAN / BOID is entered by the client, list of all Stocks MP transactions pending for authentication will be displayed to the client.
- On selection, a provision to generate OTP will be given to the client. On clicking 'Generate OTP' button, OTP will be generated and sent on registered Mobile Number and registered Email ID of the client.



- OTP will remain valid for 15 minutes. On the expiry of OTP, the client will again be required to follow the process of selecting the MP transactions and re-generate OTP.
- Enter the OTP on the CDSL page to approve the pledge.
- Only once the pledge is initiated and authorized, they will get limits against stocks collateral and their pledged stocks will be considered for exchange margin reporting.
- Non-authenticated MP transactions will be 'Cancelled' during EOD of the 'Execution Date'
- OTP authentication is not required if client securities are further re-pledged by the TM to CM and by the CM to CC.
- You can check the Pledge status (Pending, In process, Accept, Reject) in LD Main Report > margin Pledge Details> Margin Pledge Register.

Un-Pledge process:

- Login in to LD Back office software
- Go to Day To day>Demat Stock Transfer> Branch Deliver Request.
- Select Client Code & click on refresh button
- A list of Pledge stocks is displayed here
- Select the stocks you wish to Un-pledge, enter the quantity, and click on save option
- The stocks will be unpledged from the Clearing corporation if client have sufficient margin in account
- After margin verification, Clearing corporation will unmarked lien on the stocks and the stocks become free securities in the demat account.

Please note the following points:

- Gepl Depository will send link to client with in every Half hour time.
- Margin Pledge requests placed in back office software before 6.00 pm will be processed on the same day. Requests placed after 6.00 pm would be processed on the next trading day.
- Margin un-pledge requests placed before 1:30 PM, stocks will be credited to your demat account on T+1 day. Any request after that will be processed on the next working day.
- Pledge request file upload process will done within 1 hours' time interval
- Only exchange approved stocks in Margin Pledge account will be considered for margin collection. (As per Link: Gepcapital.com/margin-files/Collateral)



- Scrip wise margin files (CASH margin file, illiquid stock, derivative margin, CDS margin, Commodity margin) are available on our web site – . (Link: Geplcapital.com/margin-files/Collateral) & [GEPL Margin Calculator](#)
- Client can view details of his/her ledger, holdings, margin shortfall etc via secured login on Client back office login
- Client will get benefits of all corporate actions like dividends, splits, bonuses, etc. on the stocks you have pledged.
- GEPL Reserve the right to liquidate any stocks in case of debit arise in the client account
- The clients may submit the duly completed physical Margin Pledge Request Form by signing the document from all holders and give it to RM or nearest branch.

Charges:

For Pledge and Unpledge, following charges would be debited to ledger as levied by the Depository.

Charges Type	Depository	Charges
Margin Pledge	CDSL/NSDL	Rs.15 per transaction
Margin Un-Pledge	CDSL/NSDL	Rs.15 per transaction